

| | | |
|---|---------------------------|----------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | 1954-433 |
| | First Inventor | Don J. DIAMOND |
| | Title CTL EPITOPE ANALOGS | |

19704 U.S. PRO
10/64388
08/20/03

Applicant for Published Patent Application: City of Hope
Duarte, California USA

| | | | |
|--|--|--|--|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, D.C. 20231 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small> | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program | |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. | | 8. Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Form (CRF) | |
| 3. <input checked="" type="checkbox"/> Specification Total pages [46] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross references to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claims - Abstract of the Disclosure | | b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets) [10] | | ACCOMPANYING APPLICATION PARTS | |
| 5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> [Note Box 5 below] | | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application,</small> | | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> <ul style="list-style-type: none"> <input type="checkbox"/> Power of Attorney <input type="checkbox"/> English Translation Document <i>(if applicable)</i> | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. | | 11. <input type="checkbox"/> Information Disclosure Statement /PTO 1449 <ul style="list-style-type: none"> <input type="checkbox"/> Copies of IDS Citations | |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76: | | 12. <input checked="" type="checkbox"/> Preliminary Amendment | |
| <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/692,325 | | 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> | |
| Prior application information: Examiner Padmashri Ponnaluri | | 14. <input type="checkbox"/> Certified Copy of Priority Document(s). | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | 15. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). | |
| | | 16. <input type="checkbox"/> Other: Request for Transfer of Sequence Listing | |

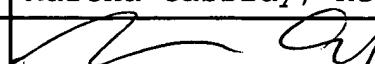
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/692,325

Prior application information: Examiner Padmashri Ponnaluri Group/Art Unit 1639

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Customer Number or Bar Code Label 6449

| | | | | | |
|-----------|---|-----------|--------------|----------------------|--------------|
| Address | Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W. | | | | |
| City | Washington | State | D.C. | Zip Code | 20005 |
| Country | U.S.A. | Telephone | 202-783-6040 | Fax | 202-783-6031 |
| Name | Martha Cassidy, Reg. No. 44,066 | | | | |
| Signature |  | | | Date August 20, 2003 | |

The PTO did not receive the following
listed item(s) REQUEST FOR TRANSFER
OF SEQUENCE LISTING

13261
08/20/03
U.S. PTO

**FEE TRANSMITTAL
for FY 2003
(Small Entity)**

| | | Complete if Known | |
|-------------------------|-------------|------------------------|---------------------|
| | | Application Number | To Be Assigned |
| | | Filing Date | August 20, 2003 |
| | | First Named Inventor | Don J. DIAMOND |
| | | Examiner Name | Padmashri Ponnaluri |
| | | Group Art Unit | 1639 |
| Total Amount of Payment | (\$ 375.00) | Attorney Docket Number | 1954-433 |

METHOD OF PAYMENT (check one)

- The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status.
- Payment Enclosed:
 - Check
 - Credit Card

FEES CALCULATION

1. FILING FEE

| Fee | Fee | Fee Description | Fee Paid |
|------|-----|------------------------|----------|
| Code | \$ | | |
| 2001 | 375 | Utility filing fee | [375.00] |
| 2002 | 165 | Design Filing Fee | [] |
| 2003 | 260 | Plant Filing Fee | [] |
| 2004 | 375 | Reissue Filing Fee | [] |
| 2005 | 80 | Provisional Filing Fee | [] |

SUBTOTAL \$ 375.00

2. CLAIMS

| | | Extra Claims | Fee | Fee Paid |
|------------------------------|-----|-----------------|-------------|--------------|
| Total Claims | [4] | - 20** | = [] | x \$ 9 = [] |
| Independent Claims | [1] | - 3** | = [] | x 42 = [] |
| Multiple Dependent Claims | | | + 140 = [] | |

**or number previously paid, if greater,

SUBTOTAL \$

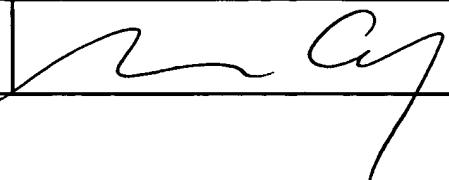
FEES CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Fee Paid | Fee Description | Fee Paid |
|---------------------|-------------|--|----------|
| 2051 | 65 | Surcharge - late filing fee or oath | [] |
| 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | [] |
| 1053 | 130 | Non-English specification | [] |
| 1812 | 2,520 | For filing a request for reexamination | [] |
| 1804 | 920 | Requesting publication of SIR prior to Examiner action | [] |
| 1805 | 1,840* | Requesting publication of SIR after Examiner action | [] |
| 2251 | 55 | Extension for reply within first month | [] |
| 2252 | 205 | Extension for reply within second month | [] |
| 2253 | 465 | Extension for reply within third month | [] |
| 2254 | 725 | Extension for reply within fourth month | [] |
| 2255 | 985 | Extension for reply within fifth month | [] |
| 2401 | 160 | Notice of Appeal | [] |
| 2402 | 160 | Filing a brief in support of an appeal | [] |
| 2403 | 150 | Request for Oral Hearing | [] |
| 1451 | 1,510 | Petition to institute a public use proceeding | [] |
| 2452 | 55 | Petition to revive -unavoidable | [] |
| 2453 | 650 | Petition to revive - unintentional | [] |
| 2501 | 650 | Utility issue fee (or reissue) | [] |
| 2502 | 235 | Design issue fee | [] |
| 2503 | 315 | Plant issue fee | [] |
| 1460 | 130 | Petitions to the Commissioner | [] |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | [] |
| 1806 | 180 | Submission of Information Disclosure Statement | [] |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | [] |
| 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | [] |
| 2810 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | [] |
| 2801 | 375 | Request for Continued Examination (RCE) | [] |
| 1802 | 900 | Request for expedited examination of a design application | [] |
| 1504 | 300 | Publication fee for early, voluntary, or normal publication | [] |
| 1505 | 300 | Publication fee for republication | [] |
| 1455 | 200 | Filing an application for patent term adjustment | [] |
| 1456 | 400 | Request for reinstatement of term reduced | [] |
| Other fee (specify) | | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$

| SUBMITTED BY | | | Complete (if applicable) | |
|-------------------------|---|------|--------------------------|---------------------------------------|
| NAME AND REG. NUMBER | Martha Cassidy, Reg. No. 44,066 | | | |
| SIGNATURE |  | DATE | August 20, 2003 | DEPOSIT ACCOUNT USER ID 02-2135 |